

FORM PTO-1390
(Rev.5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

ROCKCO P39AUS

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/171,583

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PCT/GB97/01121

23 April 1997

PRIORITY DATE CLAIMED

23 April 1996

TITLE OF INVENTION

LIGHT EMITTING DEVICE AND ARRAYS THEREOF

APPLICANT(S) FOR DO/EO/US

William John BAILLIE-HAMILTON

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau. (PCT/IB/308 mailed)
 - c. is not required, as the application was filed in the Untied States Receiving Office (RO/US)
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)) is attached.
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98 with PTO FORM 1449.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A FIRST preliminary amendment.
 - A SECOND or SUBSEQUENT preliminary amendment.
14. A substitute specification.
15. A change of power of attorney and/or address letter.
16. Other items or information:

- Preliminary Examination Report
- Annexes to Pre. Ex. Rep.
- International Search Report
- _____ copies of citations
- Copy of Notification of File Missing Parts
- Form PCT/IB/308

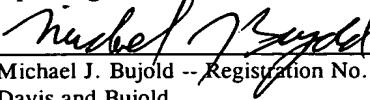
- International Publ. No. _____ (Face page only)
- Request for Refund
- _____ sheets of formal drawings
- Verified Statement Claiming Small Entity Status
- Abstract
-

11/30/1998 PVO/LPE 00000112 09171583
01 FC:254 65.00 OP**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Transmittal Letter and the papers indicated as being transmitted therewith is being deposited with the United States Postal Service on this date November 24, 1998 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL012164973US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231

Michael J. Bujold
(typed or printed name of person mailing paper)


(signature of person mailing paper)

<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</p> <p>Search Report has been prepared by the EPO or JPO \$930.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) \$720.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)). \$790.00</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,070.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$98.00</p> <p style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p>				CALCULATIONS	PTO USE ONLY
				\$	
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>				\$130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	-20 =	0	x \$22.00	0	
Independent Claims	-3 =	0	x \$82.00	0	
Multiple dependent claim(s) (if applicable)				+ \$270.00	\$
				TOTAL OF ABOVE CALCULATIONS =	\$130.00
<p>Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).</p>				\$65.00	
				SUBTOTAL =	\$65.00
<p>Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>				+	\$
				TOTAL NATIONAL FEE =	\$65.00
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p>				+	\$
				TOTAL FEES ENCLOSED =	\$65.00
				Amount to be: refunded	\$
				charged	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$ 65.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>04-0213</u> in the amount of \$____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-0213</u>. A duplicate copy of this sheet is enclosed.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO:</p> <p> Michael J. Bujold -- Registration No. 32,018 Davis and Bujold Fourth Floor 500 North Commercial Street Manchester, NH 03101 Telephone (603) 624-9220 Telefax (603) 624-9229</p>					